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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

8 pages

Application Number

09/818,344

Filing Date

March 26, 2001

First Named Inventor

John R. BAKER

Group Art Unit

1627

Examiner Name

L. Guo

Attorney Docket No.

441842000401

RECEIVED

MAR 26 2003

TECH CENTER 1600/2900

**ENCLOSURES (check all that apply)**

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form + duplicate copy for fee processing - 2 pages | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment / Reply - 4 pages  | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declarations   | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input checked="" type="checkbox"/> Extension of Time Request (5-mo.) - 1 page                         | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request   | <input type="checkbox"/> Terminal Disclaimer  | 1. Return Receipt Postcard   |
| <input type="checkbox"/> Information Disclosure Statement  | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)  | <input type="checkbox"/> CD, Number of CD(s) _____                                      |  |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application                             | Remarks   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53                           |   |  |

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm

Morrison &amp; Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018

or

Individual Name

Eric Witt (Registration No. 44,408)

Signature

Date

March 17, 2003

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Tamara Alcaraz

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pa-739837

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# FEE TRANSMITTAL FOR FY 2003

MAR 17 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

## Complete if Known

Application Number 09/818,344  
Filing Date March 26, 2001  
First Named Inventor John R. BAKER  
Examiner Name L. Guo  
Group Art Unit 1627  
Attorney Docket No. 441842000401

TOTAL AMOUNT OF PAYMENT

(\$1021.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-1952  
Deposit Account Name Morrison & Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001           | 750             | 2001           | 375             | Utility filing fee     |          |
| 1002           | 330             | 2002           | 165             | Design filing fee      |          |
| 1003           | 510             | 2003           | 255             | Plant filing fee       |          |
| 1004           | 750             | 2004           | 375             | Reissue filing fee     |          |
| 1005           | 160             | 2005           | 80              | Provisional filing fee |          |

SUBTOTAL (1) (\$0.00)

### 2. EXTRA CLAIM FEES

| Total Claims         | Extra Claims | Fee from below | Fee Paid |
|----------------------|--------------|----------------|----------|
| 40                   | -36** = 4    | 9              | \$36     |
| Independent Claims 5 | -5** = 0     | 42             | \$0      |
| Multiple Dependent   |              | 140            | \$0      |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 1202           | 18              | 2202           | 9               | Claims in excess of 20                                    |
| 1201           | 84              | 2201           | 42              | Independent claims in excess of 3                         |
| 1203           | 280             | 2203           | 140             | Multiple dependent claims, if not paid                    |
| 1204           | 84              | 2204           | 42              | **Reissue independent claims over original patent         |
| 1205           | 18              | 2205           | 9               | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$36.00)

\*\* or number previously paid, if greater; For reissues, see above.

## FEE CALCULATION (continued)

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 1051           | 130             | 2051           | 65              | Surcharge - late filing fee or oath  |          |
| 1052           | 50              | 2052           | 25              | Surcharge - late provisional filing fee or cover sheet                       |          |
| 1053           | 130             | 1053           | 130             | Non-English specification  |          |
| 1812           | 2,520           | 1812           | 2,520           | For filing a request for ex parte reexamination                              |          |
| 1804           | 920*            | 1804           | 920*            | Requesting publication of SIR prior to Examiner action                       |          |
| 1805           | 1,840*          | 1805           | 1,840*          | Requesting publication of SIR after Examiner action                          |          |
| 1251           | 110             | 2251           | 55              | Extension for reply within first month                                       |          |
| 1252           | 410             | 2252           | 205             | Extension for reply within second month                                      |          |
| 1253           | 930             | 2253           | 465             | Extension for reply within third month                                       |          |
| 1254           | 1,450           | 2254           | 725             | Extension for reply within fourth month                                      |          |
| 1255           | 1,970           | 2255           | 985             | Extension for reply within fifth month                                       | 985      |
| 1401           | 320             | 2401           | 160             | Notice of Appeal   |          |
| 1402           | 320             | 2402           | 160             | Filing a brief in support of an appeal                                       |          |
| 1403           | 280             | 2403           | 140             | Request for oral hearing   |          |
| 1451           | 1,510           | 1451           | 1,510           | Petition to institute a public use proceeding                                |          |
| 1452           | 110             | 2452           | 55              | Petition to revive - unavoidable   |          |
| 1453           | 1,300           | 2453           | 650             | Petition to revive - unintentional   |          |
| 1501           | 1,300           | 2501           | 650             | Utility issue fee (or reissue)   |          |
| 1502           | 470             | 2502           | 235             | Design issue fee   |          |
| 1503           | 630             | 2503           | 315             | Plant issue fee  |          |
| 1460           | 130             | 1460           | 130             | Petitions of the Commissioner  |          |
| 1807           | 50              | 1807           | 50              | Petitions related to provisional applications                                |          |
| 1806           | 180             | 1806           | 180             | Submission of Information Disclosure Stmt                                    |          |
| 8021           | 40              | 8021           | 40              | Recording each patent assignment per properties (times number of properties) |          |
| 1809           | 750             | 2809           | 375             | Filing a submission after final rejection (37 CFR § 1.129(a))                |          |
| 1810           | 750             | 2810           | 375             | For each additional invention to be examined (37 CFR § 1.129(b))             |          |
| 1801           | 750             | 2801           | 375             | Request for Continued Examination (RCE)                                      |          |
| 1802           | 900             | 1802           | 900             | Request for expedited examination of a design application                    |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$985.00)

## SUBMITTED BY

Name (Print/Type)

Erie Witt

Registration No.  
(Attorney/Agent)

44,408

## Complete (if applicable)

Telephone

(650) 813-5755

Signature

Date

March 17, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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